



Performance Framework 2014-2020

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Building Blocks of Result Orientation

- *Result orientation of programmes*
- *Clearer roles for monitoring and evaluation*
- *Performance framework, review and reserve*
- **[Conditionalities – ex ante and macro economic]**

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Difference: Results Orientation and Performance Framework?

- **Interlinked but distinct:**
 - Results orientation is wider and locates the programme in its context
 - Performance Framework is about efficient implementation of the programme

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4. Performance Framework

- **Performance Framework Indicators:**
 - Monitor that implementation is as planned
 - A subset of programme indicators
 - Financial & Output indicators & Key Implementation Steps
 - Under the control of the Managing Authorities
 - Milestones for 2018 and Targets for 2022 (2023)
 - Must be unambiguous and milestones and targets realistic
 - Allocation of reserve to priorities which achieve milestones
 - Possible suspensions and corrections for non-achievement
 - Will NOT answer the impact question (what have been the effects of the policy?)

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Challenges for Performance Frameworks

- Keeping it as simple as possible (minimise number of indicators)
- Coverage of the Priority Axis (Commission proposal to cover 75%)
- Setting realistic milestones and targets (ex ante evaluation may help)
- Consistency within a MS for similar priorities (should be described in Partnership Agreement – timing issues...)

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What goes where?

Operational Programme

- **For each investment priority & specific objective:**
 - Result indicator, baseline and target
 - Output indicators (common and programme specific) and cumulative target for 2022 (2023)
- **For each priority axis:**
 - Performance Framework – a subset of programme indicators – financial and output indicators, milestones and targets

Partnership Agreement

- Summary of the main results expected for each thematic objective
- Methodology to ensure consistency in performance framework across programmes and funds

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Performance Review

- Data on achievements by end 2018 input by MAs to SFC2014 by 30 June 2019
- Decision on performing priorities by Commission by 31 August 2019 (therefore, automatic - no time for negotiation)
- Commission guidance suggests, only one indicator may fail by just 5% for a priority to perform
- Serious failure (2 indicators reach less than 75%) may lead to suspensions or corrections at the end of the period (with heavy conditions)

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Performance Reserve

- 5% (or 7%) of each Fund (excluding ETC)
- Within 3 months of Commission decision (i.e., end November 2019), MS proposal for allocation among performing priorities
- Within 2 months Commission must object or approve the amendment of programmes (therefore, most decisions in 2020).

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Conditions for Suspension & Correction

- Serious failure to meet milestones/ targets (Commission proposal: below 75% for 2 indicators)
- Commission has previously communicated to MA implementation weaknesses and MS has failed to take action
- For corrections, no significant socio-economic or environmental developments have prevented the achievement of targets.

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Draft Regulations Evolving

- Common indicators more or less fixed (only some final detail on definitions in guidance may change)
- Concept of result indicators for ERDF/CF accepted and being worked on by MS
- Performance Framework/Review still open on timing for targets (2023) and amount of Reserve (7% proposed by Council)
- Commission guidance on coverage (up to 75%) and assessment of performance (how many indicators can fail) to be discussed with MS on 15 April

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Reference documents - Guidance **Concepts and Recommendations**

**Performance Framework & Reserve –
being consulted**

Pilot Tests on Result Indicators

Ex ante evaluation (ERDF, ESF, CF)

http://ec.europa.eu/regional_policy/information/evaluations/guidance_en.cfm#1

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